



UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Bickford, Randall L.

SERIAL NO.: 10/600,721

FILED: June 20, 2003

FOR: Surveillance System and
Method having an Operating
Mode Partitioned Fault
Classification Model

ART UNIT: 2121

EXAMINER: Not Yet Assigned

To: Commissioner For Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
BEFORE MAILING OF FIRST OFFICE ACTION UNDER 37 CFR 1.97(b)**

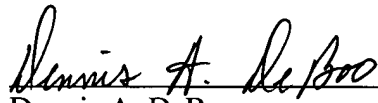
The patents and publications listed on the appended PTO/SB/08A form (Substitute for form 1449A/PTO) reflect the state of the art of which applicant is currently aware. These references are included to fulfill the applicant's duty to disclose prior art. It is stipulated, however, that none of these references teach singly, nor render obvious when combined, the nexus of this invention as disclosed and as particularly claimed.

**IDENTIFICATION OF TIME OF FILING THE
ACCOMPANYING INFORMATION DISCLOSURE STATEMENT**

This information disclosure statement, including the attachments submitted herewith, are being filed before the mailing date of a first Office Action on the merits.

Dated: January 6, 2004

Respectfully Submitted:


Dennis A. DeBoo
Telephone: (916) 449-3983
Registration No.: 42,471



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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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of 8

Attorney Docket Number	23406-cip
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Sheet 2 of 8

Application Number	10/600,721
Filing Date	6/20/2003
First Named Inventor	Bickford, Randall L.
Group Art Unit	2121
Examiner Name	Not yet Assigned
Attorney Docket Number	23406-cjp

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	21	5,706,321		Chen et al.	06-06-1998	
	22	5,740,033		Wassick et al.	04-14-1998	
	23	5,745,382		Vilim et al.	04-28-1998	
	24	5,761,090		Gross et al.	06-02-1998	
	25	5,764,509		Gross et al.	06-09-1998	
	26	5,774,379		Gross et al.	06-30-1998	
	27	5,774,569		Waldenmaier	06-30-1998	
	28	5,864,773		Barna et al.	06-26-1999	
	29	5,877,999		Holt, et al.	03-02-1999	
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	38	6,181,975		Gross, et al.	01-30-2001	
	39	6,202,038		Wegerich, et al.	03-13-2001	
	40	6,240,372		Gross, et al.	05-29-2001	

[illegible]Date
Considered

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Compl t if Known			
		Application Number	10/600,721		
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		First Named Inventor	Bickford, Randall L.		
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		Examiner Name	Not yet Assigned		
Sheet	5	of	8	Attorney Docket Number	23406-cip

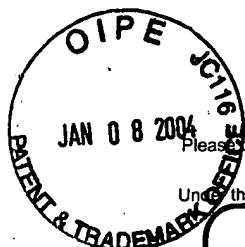
OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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	84	SINGER, R.M., et al, Use of a Pattern Recognition Scheme to Compensate for Critical Sensor Failures, 1st Intl. Machinery Monitoring and Diagnostic Conf., September 1989, Printed in USA by Argonne National Laboratory	
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Sheet 8

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	95	WEGERICH, S., et al, Challenges Facing Equipment Condition Monitoring Systems, MARCOM 2001, May 2001, Printed in USA by SmartSignal Corporation	
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